

Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 1 of 3

Complete if Known

Application Number	To Be Assigned 10/712,086
Filing Date	Herewith 11/13/2003
First Named Inventor	Kazuhisa Yamamoto, et al.
Art Unit	To Be Assigned 2813
Examiner Name	To Be Assigned Colleen Rodgers
Attorney Docket No.	YAO-3750US2

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
CP		US-5,452,312	09-19-1995	Yamamoto, et al.	
CP		US-5,295,218	03-1994	Agostinelli	
CP		US-5,113,524	05-1992	Hirota	
CP		US-4,907,238	03-1990	Chun	
CP		US-4,667,331	05-1987	Alferness	
CP		US-5,614,961	03-1997	Gibeau	
CP		US-4,665,529	05-12-1987	Baer, et al.	
CP		US-5,036,220	07-30-1991	Byer, et al.	
CP		US-5,303,247	04-12-1994	Yamamoto, et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
CP		JP 6-18823 U	03-11-1994			<input type="checkbox"/>
CP		JP 08-194196	07-30-1996	Fujitsu, Ltd.		<input type="checkbox"/>
CP		JP 2-199975	08-08-1990	Sony Corp.		<input type="checkbox"/>
CP		JP 2-221995	09-04-1990	Sony Corp.		<input type="checkbox"/>
CP		JP 3-191332	08-21-91	Matsushita Elec. Ind. Co., Ltd.		<input type="checkbox"/>
CP		JP 3-38984	02-20-91	Pioneer Electronic Corp.		<input type="checkbox"/>
CP		JP 4-100088	04-02-92	Sony Corp.		<input type="checkbox"/>
CP		JP 4-18823	02-17-92	Asahi Glass Co., Ltd.		<input type="checkbox"/>
CP		JP 4-296731	10-21-92	Matsushita Elec. Ind. Co., Ltd.		<input type="checkbox"/>
CP		JP 4-315120	11-6-92	Matsushita Elec. Ind. Co., Ltd.		<input type="checkbox"/>
CP		JP 4-45478	2-14-92	Ibiden Co., Ltd.		<input type="checkbox"/>
CP		JP 4-507299	12-17-92	Board of Trustees of Leland Stanford Jr. Univ.		<input type="checkbox"/>
CP		JP 5-107421	4-30-93	Fujitsu, Ltd.		<input type="checkbox"/>
CP		JP 5-173094	7-13-93	Matsushita Elec. Ind. Co., Ltd.		<input type="checkbox"/>
		(**continued on page 2**)				<input type="checkbox"/>

Examiner Signature

Colleen E. Rodgers

Date

Considered

09/16/05

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¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>	Complete if Known	
	Application Number	To Be Assigned 10/7/2008
	Filing Date	Hereafter 11/13/03
	First Named Inventor	Kazuhiya Yamamoto, et al.
	Art Unit	To Be Assigned
	Examiner Name	To Be Assigned
	Attorney Docket No.	YAO-3750US2

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)				
CR		JP 5-232536	9-1993			<input type="checkbox"/>
CR		JP 61-22518	5-31-86	Sony Corp.		<input type="checkbox"/>
CR		JP 6-148444	5-27-94	Fujitsu Ltd.		<input type="checkbox"/>
CR		JP 62-274788	11-28-87	Spectra -Physics, Inc.		<input type="checkbox"/>
CR		JP 6-265956	9-22-94	Fuji Photo Film Co., Ltd.		<input type="checkbox"/>
CR		JP 6-273814	9-30-94	Matsushita Elec. Ind. Co., Ltd.		<input type="checkbox"/>
CR		JP 6-350168	12-22-94	Hitachi Metals, Ltd.		<input type="checkbox"/>
CR		JP 7-15077	1-1995			<input type="checkbox"/>
CR		JP 7-23329	4-25-95	Mitsubishi Electric Corp.		<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
Examiner Signature	Colleen G. [Signature]			Date Considered	09/16/04	

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Substitute for Form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 3 of 3

Complete if Known

Application Number	To Be Assigned	10/712086
Filing Date	Herewith	1-13-03
First Named Inventor	Kazuhiya Yamamoto, et al.	
Art Unit	To Be Assigned	
Examiner Name	To Be Assigned	
Attorney Docket No.	YAO-3750US2	

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
CE		MIZUUCHI, K., et al., "Second-Harmonic Generation of Blue Light in a LiTaO ₃ Waveguide", <u>Applied Physics Letters</u> , Vol. 58, No. 24, pp. 2732-2734 (1991).	<input type="checkbox"/>
CR		International Search Report corresponding to PCT/JP96/01472 dated 10/8/96.	<input type="checkbox"/>
CR		Chinese Office Action dated July 10, 2003.	<input type="checkbox"/>
CE		AHLFELDT, H., et al., "Postfabrication Changes and Dependence on Hydrogen Concentration of the Refractive Index of Proton-Exchanged Lithium Tantalate Waveguides", pp. 717-727 (January 15, 1994).	<input type="checkbox"/>
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			<input type="checkbox"/>

Examiner
Signature

Colleen EdRady

Date
Considered

09/21/05

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